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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2121 #2  
OL. 26-2  
**RECEIVED**

JAN 31 2002

Technology Center 2100

In Re Application of : January 9, 2002  
Li et al : Examiner:  
Serial No. 09/942,418 : Group Art Unit: 2121  
Filed: 08-30-01 : IBM Corporation  
Dept. 18G/Bldg. 300-482  
Title: AN INTERFACE DEVICE FOR : 2070 Route 52  
STI/BPSG EPD AND REAL TIME CONTROL : Hopewell Junction,  
New York 12533-6531

INFORMATION DISCLOSURE STATEMENT

The Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under  
37 C.F.R. § 1.56 and in accordance with the practice under  
37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed  
to the documents listed on the enclosed Form PTO-1449. Copies of  
the listed documents are also enclosed.

It is respectfully requested that the above information be  
considered by the Examiner and that a copy of the enclosed Form  
PTO-1449 be returned indicating that such information has been  
considered.

STATEMENT UNDER 37 C.F.R. § 1.97(e)

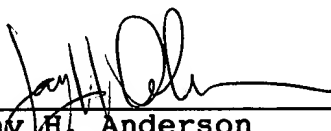
The undersigned attorney states that each item of

HQ9-98-066US2

information in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Statement.

Applicants' undersigned attorney may be reached by telephone at (845) 894-3667. Correspondence relating to this Information Disclosure Statement should be directed to the below listed address.

Respectfully submitted,

By   
Jay H. Anderson  
Registration No. 38,371

INTERNATIONAL BUSINESS MACHINES CORPORATION  
Intellectual Property Law Department  
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JHA/kcm

*(Use several sheets if necessary)*

SERIAL NO.
09/942,418

Li et al.

FILING  
8-30-01

GROUP	2121
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JAN 28 2002

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		08-162431	21/06/1996	Japan				
		08-064561	08/03/1996	Japan				
		07-221099	18/08/1995	Japan				
		06-318583	15/11/1994	Japan				
		WO 9607469	14/03/1996	PCT				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.